**Summary of Asset Holdings**

**Name of Applicant (corresponding to the trading code): \_\_\_\_\_\_\_\_\_\_\_**

**Date of Summary Report of Deliverable CGBs: \_\_\_\_\_\_\_\_\_\_**

|  |  |
| --- | --- |
| **Type of Asset** | **Asset Size (in million RMB)** |
| Applicant’s Net Asset ( institution) /Net Value (investment product) |  |
| Contract 1: deliverable CGBs of *xxxx* contract |  |
| *CGB code* |  |
| *CGB code* |  |
| Contract 2: deliverable CGBs of *xxxx* contract |  |
| *CGB code* |  |
| *CGB code* |  |
| Contract 3: deliverable CGBs of *xxxx* contract |  |
| *CGB code* |  |
| *CGB code* |  |
| Notes:  1. An institutional client shall report the latest net asset size; an investment product client shall report the latest net asset value;  2. The summary of deliverable CGBs shall be current as of no more than ten trading days prior to the date of application. | |