**List of Materials Required for Change of Member Name**

1. A report on the change of the member’s name, affixed with the member’s common seal (see Appendix 1)

2. A photocopy of the member’s business license and permit, affixed with the member’s common seal

3. In case of change of a clearing member’s name, a China Financial Futures Exchange Application Form for Change in Clearing Member’s Dedicated Funds Account (see Appendix 2) and a China Financial Futures Exchange Application Form for Change in Clearing Member’s Dedicated Settlement Guarantee Fund Account (see Appendix 3)

Appendix 1

**Report on the Change of xxx Company’s Name**

China Financial Futures Exchange:

We hereby report that our name has been changed from XXX to XXX with the approval of XXX (Document No. XXX).

Common Seal:

Legal Representative (signature and seal):

MM/ DD/YYYY

Appendix 2

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **China Financial Futures Exchange** | | | | | |
| **Application Form for Change in Clearing Member’s Dedicated Funds Account** | | | | | |
| Member Name |  | | Member Code | |  |
| Custodian Bank Involved | ICBC ABC BOC CCB BoCom | | | | |
| **Changes** | | | | | |
| Change of Account Name | | | | | |
| Original Account Name |  | New Account Name | |  | |
| Change of Account Number | | | | | |
| Original Account Number |  | New Account Number | |  | |
| Change of Designated Variation Margin Account | | | | | |
| Original Custodian Bank |  | Current Custodian Bank | |  | |
| Other Matters: | | | | | |
| Signature and Seal of Legal Representative: Common Seal: | | | | | |
| MM/DD/YYYY | | | | | |
| Review Opinion of the Exchange’s Clearing Department | | | | | |
| Reviewer: Department Head: | | | | | |
| MM/DD/YYYY | | | | | |

Appendix 3:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **China Financial Futures Exchange** | | | | | |
| **Application Form for Change in Clearing Member’s Dedicated Settlement Guarantee Fund Account** | | | | | |
| Member Name |  | | Member Code | |  |
| Bank Involved | ICBC ABC BOC CCB BoCom | | | | |
| **Changes** | | | | | |
| Chang of Account Name | | | | | |
| Original Account name |  | New Account Name | |  | |
| Change of Account Number | | | | | |
| Original Account Number |  | New Account Number | |  | |
| Change of Account Bank | | | | | |
| Original Account Bank |  | New Account Bank | |  | |
| Other Matters: | | | | | |
| Signature and Seal of Legal Representative: Common Seal: | | | | | |
| MM/DD/YYYY | | | | | |
| Review Opinion of the Exchange’s Clearing Department | | | | | |
| Reviewer: Department head: | | | | | |
| MM/DD/YYYY | | | | | |